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Atty Docket No. 04404.P002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

PATHMASUNTHARAM et al.

Examiner: Not yet assigned

Application No.: 09/466,144

Art Unit: Not yet assigned

Filing Date: 12/17/99

For: A SYSTEM AND METHOD FOR  
USING A SMART CARD



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JAN 13 2000  
102603-1000A

Asst. Commissioner for Patents  
Washington, D.C. 20231

ESTABLISHMENT OF SMALL ENTITY STATUS, REQUEST FOR REFUND, AND  
FILING OF ASSIGNMENT

(Pursuant to 37 C.F.R. §§1.27, 1.28(a)(1), and 1.26(a))

Sir:

The above-referenced application was filed on December 17, 1999 under large entity status and a check was submitted to the U.S. Patent and Trademark Office in the amount of \$1,618.00. The above-referenced application should have been filed under small entity status with a check in the amount of \$774.00. Accordingly, Applicants hereby request establishment of small entity status pursuant to 37 C.F.R. §§ 1.27 and 1.28(a)(1). An Assignment in connection with a Verified Statement (Declaration) Claiming Small Entity Status are submitted herewith for filing. Applicants hereby respectfully request a refund in the amount of \$844.00 to be paid to the order of "Blakely, Sokoloff, Taylor & Zafman" pursuant to 37 C.F.R. § 1.26(a).

A copy of the original check mailed as payment of the above-referenced patent application is enclosed.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN

Date: 2/16, 2000

Sang Hui Michael Kim  
Reg. No. 40,450

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**FIRST CLASS CERTIFICATE OF MAILING (37 C.F.R. § 1.8(a))**

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2/16/00

Date of Deposit

Juanita Briscoe

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Juanita Briscoe

Signature

2/16/00

Date

#3

ELY, SOKOLOFF, TAYLOR & ZAFMAN, LLP  
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Commissioner of Patents and Trademarks

12/17/99

\$ 1,618.00

*Maria S. Salomon*  
AUTHORIZED SIGNATURE

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DETACH AND RETAIN THIS STATEMENT  
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SYSTEM AND METHOD FOR USING A SMART DATA THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST  
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Wireless 04404.P002 MSK/jb \$1,618 INDICATED ABOVE AND IS ADDRESSED TO THE ASSISTANT  
04404.P002 MSK/jb COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231  
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*JUTHITHA BRUNOC*

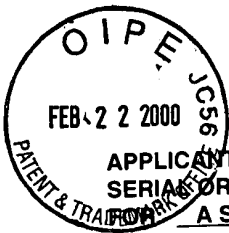
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APPLICANT OR PATENTEE: PATHMASUNTHARAM ET AL.ATTORNEY'S DOCKET NO. 04404.P002SERIAL OR PATENT NO.: 09/466,144FILED/ISSUE DATE: 12/17/99A SYSTEM AND METHOD FOR USING A SMART CARD**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS**  
**37 CFR 1.9(f) AND 1.27(b) -- NONPROFIT ORGANIZATION**

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION Centre for Wireless Communications of National University of SingaporeADDRESS OF ORGANIZATION 20 Science Park Road, #02-34/37, TeleTech Park, Singapore Science Park II,  
Singapore 117674

## TYPE OF ORGANIZATION

- ☒ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION  
☐ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(a) AND 501(c) (3))  
☐ NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA

(NAME OF STATE: \_\_\_\_\_)

(CITATION OF STATUTE: \_\_\_\_\_)

- ☐ WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE  
(26 U.S.C. 501(a) AND 501(c)(3)) IF LOCATED IN THE UNITED STATES OF AMERICA

- ☐ WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER  
STATUTE OF STATE OF THE UNITED STATES OF AMERICA IF LOCATED IN THE  
UNITED STATES OF AMERICA

(NAME OF STATE: \_\_\_\_\_)

(CITATION OF STATUTE: \_\_\_\_\_)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, with regard to the invention entitled A SYSTEM AND METHOD FOR USING A SMART CARDby inventor(s) Jaya Shankar Pathmasuntharam,  
Cheng Lin Tan described in:

- ☐ THE SPECIFICATION FILED HEREWITH.  
☒ APPLICATION SERIAL NO.: 09/466,144, FILED: 12/17/99  
☐ PATENT NO.: \_\_\_\_\_, ISSUED: \_\_\_\_\_

I hereby certify that to the best of my knowledge and belief rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above-identified invention **and I have reviewed the document that evidences the conveyance of those rights.** That document

- ☒ IS BEING FILED HEREWITH.  
☐ WAS RECORDED IN THE U.S. PATENT AND TRADEMARK OFFICE  
ON \_\_\_\_\_, 19 \_\_\_\_ AT REEL \_\_\_\_\_ AND FRAME \_\_\_\_\_.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below **and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).** NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME: \_\_\_\_\_

ADDRESS: \_\_\_\_\_  
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

NAME: \_\_\_\_\_

ADDRESS: \_\_\_\_\_  
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I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: LYE KIN MUN

TITLE IN ORGANIZATION: DIRECTOR

ADDRESS OF PERSON SIGNING: CENTRE FOR WIRELESS COMMUNICATIONS, 20 SCIENCE PARK ROAD, #02-34/37,  
TELETECH PARK, SINGAPORE SCIENCE PARK II, SINGAPORE 117674

SIGNATURE: 

DATE: 21/1/2000